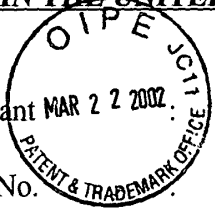


1761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant  COTTEN et al.

Serial No. 10/032,781 Group Art Unit: 1761

Filed : December 28, 2001 Examiner: not yet assigned

For : DAIRY-BASED CANDY PRODUCTION UTILIZING
PLATE AND FRAME ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner For Patents
Washington, DC 20231

Sir:

The citations enumerated on the list of references cited (modified Form PTO-1449) appended hereto may be material to the patentability of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application by initialing the citations on the enclosed modified Form PTO-1449.

This Information Disclosure Statement Under 37 CFR 1.56 is not to be construed: 1) as an admission that the information cited is, or is considered to be material to patentability as defined in 37 CFR 1.56(b), 2) as a representation that a search has been made, 3) that additional information that may be material to the patentability of this application does not exist, or 4) that any one or more of these citations constitute prior art under 35 USC 102.

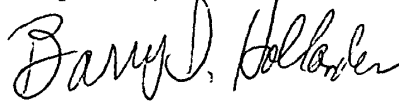
RECEIVED
MAR 29 2002
TC 1700

COTTEN et al. - Serial No. 10/032,781
Information Disclosure Statement

If any fees are due upon filing of this document, please charge our Deposit

Account No. 501032.

Respectfully submitted,




Barry I. Hollander
Reg. No. 28,566

Hollander Law Firm, P.L.C.
Suite 305, 10300 Eaton Place
Fairfax, Virginia 22030
(703) 383-4800

Enclosures:

Form PTO 1449 (3 sheets)
Copy of each reference cited

March / 5, 2002

<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence dated <u>3/15/02</u> is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>3/15/02</u></p> <p> _____ HOLLANDER LAW FIRM, P.L.C. Suite 305 10300 Eaton Place Fairfax, Virginia 22030</p> <p>Date: <u>3/15/02</u></p>
--

Please type a plus sign (+) inside this box (+)

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/032,781
				Filing Date	12/28/2001
				First Named Inventor	COTTEN
				Group Art Unit	1761
				Examiner Name	not yet assigned
Sheet	1	of	3	Attorney Docket Number	KFHI-101

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (if known)			
		3,607,309		Olney et al.	11-01-1968	
		3,825,234		Ruffinatti	07-23-1974	
		3,989,853		Forkner	11-02-1976	
		4,056,640		Otto	11-01-1977	
		4,307,779		Johansson et al.	12-29-1981	
		4,359,087		Johansson	11-16-1982	
		4,377,204		Johansson	03-22-1983	
		4,648,316		Ruffinatti	03-10-1987	
		4,804,040		Jan-Ove et al.	02-14-1989	
		4,848,451		Jonsson et al.	07-18-1989	
		5,236,730		Yamada et al.	08-17-1993	
		5,462,112		Johansson	10-31-1995	
		5,607,716		Doherty et al.	03-04-1997	
		5,766,666		Streiff et al.	06-16-1998	
		5,864,112		Blomgren et al.	01-26-1999	
		5,913,361		Engstrom et al.	06-22-1999	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number	Kind Code ⁵ (if known)			

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Please type a plus sign (+) inside this box (+)

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/032,781
		Filing Date	12/28/2001
		First Named Inventor	COTTEN
		Group Art Unit	1761
		Examiner Name	not yet assigned
		Attorney Docket Number	KFHI-101
Sheet	3	of	3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Copy of 10.5 oz. Nabisco, Inc. bag package (undated) for LifeSavers® orange & creme Creme Savers® Hard Candy, with copy of US PTO online abstract for U. S. Trademark Registration No. 2,255,941 for the word mark Creme Savers for candy indicating a first use in commerce of August 17, 1998	
		Sugar Confectionery Manufacture, second edition, edited by E. B. Jackson, Chapman & Hall, pp. 130-188 (1995)	
		Alfa Laval Heat Exchanger Operational and Maintenance Manual, Alfa Laval Thermal, Inc., Richmond, VA, undated	
		Two page brochure, Alfa Laval Plate Heat Exchanger M10-MFHC, Alfa Laval Thermal, Inc., undated	
		"Ultrasteurized & Pasteurized Food Milk and shell eggs with extended shelf lives," C&EN, November 5, 2001	

RECEIVED
 MAR 29 2002
 TC 1700

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.